



Substitute for form 1449/PTO

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
to a collection of information unless it contains a valid OMB control number.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet		of
-------	--	----

**Complete if Known**

Application Number	10	656653
--------------------	----	--------

Filing Date	9-3-2003
-------------	----------

First Named Inventor	COOK
----------------------	------

### Art Unit

Examiner Name \_\_\_\_\_

Attorney Docket Number	COBLC 8713C4
------------------------	--------------

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T*
		Country Code*~Number*~Kind Code* (if known)				
DE		GB2374289A	10-16-2002	COOK	FIGURES	
↓		EP0865789	09-23-1988	SMITHS	FIGURES	
		EP0294200A3	12-07-1988	BRAIN	SLIT 25	

Examiner Signature	/Darwin Erez/	Date Considered	09/26/2006
-----------------------	---------------	--------------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

<b>FORM PTO-1449</b> U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET          NO.: COOK 8713C4</b>	<b>SERIAL NO.:</b>
	<b>APPLICANT: Daniel J. Cook</b>	
	<b>Filing Date: Herewith</b>	<b>Group:</b>

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number										Kind Code (click here)	Patent Issue Date OR US App. Pub. Date OR US Non-Published Application Filing Date	Name
DE	US	3	7	3	4	1	0	0				5/22/1973	Walk et al.
	US	4	1	4	6	0	3	4				3/27/1979	Gupta
	US	4	5	0	9	5	1	4				4/9/1985	Brain
	US	4	6	7	4	4	9	6				6/23/1987	Svadjian et al.
	US	4	8	6	3	4	3	9				9/5/1989	Sanderson
	US	4	9	9	5	3	8	8				2/26/1991	Brain
	US	5	0	2	4	2	2	0				6/18/1991	Holmgreen et al.
	US	5	2	7	7	1	7	8				1/11/1994	Dingley
	US	5	2	8	2	4	6	4				2/1/1994	Brain
	US	5	3	0	3	6	9	7				4/19/1994	Brain
	US	5	3	8	1	2	4	8				2/21/1995	Brain
	US	5	3	9	2	7	7	4				2/28/1995	Sato

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number										Date	Country	Translation	
													Yes	No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


<b>EXAMINER</b> /Darwin Erez/	<b>DATE CONSIDERED</b> 09/26/2006
-------------------------------	-----------------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)

<b>FORM PTO-1449</b> U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET          NO.:</b> COOK 8713C4	<b>SERIAL NO.:</b>
	<b>APPLICANT:</b> Daniel J. Cook	
	<b>Filing Date:</b> Herewith	<b>Group:</b>

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Kind Code (click here)	Patent Issue Date OR US App. Pub. Date OR US Non-Published Application Filing Date	Name
DE	US 5 5 2 9 5 8 2		6/25/1996	Fukuhara
	US 5 5 4 5 0 4 8		8/13/1996	Maeda
	US 5 5 6 9 2 2 2		10/29/1996	Haselhorst et al.
	US 5 6 2 3 9 2 1		4/29/1997	Kigsinger et al.
	US 5 6 3 2 2 7 1		5/27/1997	Brain
	US 5 6 4 3 1 7 4		07/1/1997	Yamamoto et al.
	US 5 6 5 3 2 3 1		8/5/1997	Bell
	US 5 6 8 2 8 8 0		11/4/1997	Brain
	US 5 7 1 3 3 4 8		2/3/1998	Pell
	US 5 7 7 2 6 4 3		6/30/1998	Howell et al.
	US 5 7 8 7 8 7 9		8/4/1998	Gibson
✓	US 5 8 7 8 7 4 5		3/9/1999	Brain

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Translation	
				Yes	No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


<b>EXAMINER</b> /Darwin Erez/	<b>DATE CONSIDERED</b> 09/26/2006
-------------------------------	-----------------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)

<b>FORM PTO-1449</b> U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET          NO.: COOK 8713C4</b>	<b>SERIAL NO.:</b>
	<b>APPLICANT: Daniel J. Cook</b>	
	<b>Filing Date: Herewith</b>	<b>Group:</b>

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number												Kind Code (click here)	Patent Issue Date OR US App. Pub. Date OR US Non-Published Application Filing Date	Name
DE	US	5	9	3	7	8	6	0						8/17/1999	Cook
	US	5	9	7	9	4	4	5						11/9/1999	Neam et al.
↓	US	6	0	2	1	7	7	9						2/8/2000	Pagan
	US	6	2	6	1	4	0	1	B	1				7/17/2001	Pagan
	US														
	US														
	US														
	US														
	US														
	US														
	US														
	US														
	US														
	US														

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number										Date	Country	Translation	
													Yes	No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


<b>EXAMINER</b> /Darwin Erez/	<b>DATE CONSIDERED</b> 09/26/2006
-------------------------------	-----------------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)